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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 2148.00

## Complete if Known

Application Number  
Filing Date  
First Named Inventor **James Janesick**  
Examiner Name  
Group Art Unit  
Attorney Docket No. **50047050-0001**

### METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number  
Deposit Account Name
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:  
☒ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	710.00
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$ 710.00

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
71	-20** = 51	18	918
9	-3** = 6	80	480
Multiple Dependent			0

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	18 203 9	Claims in excess of 20
102	80 202 40	Independent claims in excess of 3
104	270 204 135	Multiple dependent claim, if not paid
109	80 209 40	** Reissue independent claims over original patent
110	18 210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 1398.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130 205 65	Surcharge - late filing fee or oath	
127	50 227 25	Surcharge - late provisional filing fee or cover sheet	
139	130 139 130	Non-English specification	
147	2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination	
112	920* 112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840* 113 1,840*	Requesting publication of SIR after Examiner action	
115	110 215 55	Extension for reply within first month	
116	390 216 195	Extension for reply within second month	
117	890 217 445	Extension for reply within third month	
118	1,390 218 695	Extension for reply within fourth month	
128	1,890 228 945	Extension for reply within fifth month	
119	310 219 155	Notice of Appeal	
120	310 220 155	Filing a brief in support of an appeal	
121	270 221 135	Request for oral hearing	
138	1,510 138 1,510	Petition to institute a public use proceeding	
140	110 240 55	Petition to revive - unavoidable	
141	1,240 241 620	Petition to revive - unintentional	
142	1,240 242 620	Utility issue fee (or reissue)	
143	440 243 220	Design issue fee	
144	600 244 300	Plant issue fee	
122	130 122 130	Petitions to the Commissioner	
123	50 123 50	Processing fee under 37 CFR 1.17(q)	
126	180 126 180	Submission of Information Disclosure Stmt	
581	40 581 40	Recording each patent assignment per property (times number of properties)	40.00
146	710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710 279 355	Request for Continued Examination (RCE)	
169	900 169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00

### SUBMITTED BY

Name (Print/Type) **Francisco A. Rubio-Campos** Registration No. **45,358** Telephone **213-892-5084**  
Signature *[Signature]* Date **10/15/01**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Patent and Trademark Office  
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COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

## NOTICE OF PARTIAL FEE / FEE DUE

Date:

10 / 17 / 01

To:

OIPE

From:

RAM Team, Office of Initial Patent Examination,

Subject:

Fee Due

Application Number

09977444

The attached document was submitted with an insufficient fee. The Office of Initial Patent Examination has posted the fee submitted to the suspended fee code, 197. Please check the application for the appropriate authorization to charge a deposit account. If present, delivery a copy of the authorization, this form and the applicants submission to the Office of Initial Patent Examination, RAM Team, CP2-6C12. If an authorization is not present, notify the applicant of the fee deficiency.

The correct fee, code:

103

amount

\$

54

The suspended fee code: 197

amount

- \$

Fee due

amount

= \$

54

It is the Group's responsibility to collect the balance of the fee due and ensure that the total fee is posted to the correct fee code upon receipt of the balance due before providing the requested service.

Please direct any questions you may have to Joyce Gunter-Warren at 308-3616.

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	James Janesick
Title	IMAGER CELL WITH PINNED TRANSFER GATE
Atty Docket Number	50047050-0001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10/15/01  
Date

  
Signature

Francisco A. Rubio-Campos

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

105707-444-660

Certificate of Express Mailing

I hereby certify that this paper and any fee being referred to as attached or enclosed is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on this date.

October 15, 2001

Date

  
Joanne H. Housen Galvez

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